

AGILENT TECHNOLOGIES, INC.
Legal Department, DL429
Intellectual Property Administration
P. O. Box 7599
Loveland, Colorado 80537-0599

ATTORNEY DOCKET NO. 10011474-01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Kenneth J. Wayne

Serial No.: 10/079,102

Examiner: Alfred Wujciak

Filing Date: Feb 19, 2002

Group Art Unit: 3632

Title: A LOW COST OPTOMECHANICAL MOUNT FOR PRECISELY STEERING/POSITIONING A LIGHT BEAM

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (a) ☒ Under 37 CFR 1.97(b).
(Within three months of filing national application; or date of entry of national application; or before mailing date of first Office action on the merits; whichever occurs last).
- (b) ☐ Under 37 CFR 1.97(c) together with *either* a:
☐ Statement under CFR 1.97(e), or
☐ \$180.00 fee under 37 CFR 1.17(p).
(After the CFR 1.97(b) time period, but before a final action or notice of allowance, whichever occurs first).
- (c) ☐ Under 37 CFR 1.97(d) together with: a
Statement under 37 CFR 1.97(e), *and*
\$180.00 fee as set forth in 37 CFR 1.17(p).
(After a final action or notice of allowance, whichever occurs first, but before payment of the issue fee).

☐ STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, *or*
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. _____, filed _____, now U.S. Patent No. _____, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☒ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

☒ **FEE AUTHORIZATION**

Please charge to Deposit Account **50-1078** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-1078** pursuant to 37 CFR 1.25.

I hereby certify that this correspondence is being deposited with the United States Postal Service as:

☒ First Class Mail

☐ "Express Mail Post office to Addressee" service under 37 CFR 1.10.

Express Mail Label No.

Date of Deposit: JANUARY 23, 2007

In an envelope addressed to: Commissioner for Patents,
P.O. Box 1450, Alexandria VA 22313-1450.

OR

☐ I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Date of Facsimile:

Typed Name: Judith Riddell

Signature: Judith Riddell

Respectfully submitted,

Kenneth J. Wayne

By

William S. Francos
William S. Francos, Esquire
Attorney/Agent for Applicant(s)

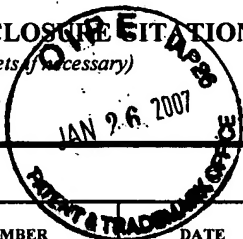
Reg. No. 38,456

Date: January 23, 2007

Telephone No. (610) 375-3513

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

10011474-01

Application Number

10/079,102

Applicant(s)

Kenneth J. Wayne

Filing Date

February 19, 2002

Group Art Unit

3632

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 5,963,352	10/05/1999	Shibuya, et al.			

FOREIGN PATENT DOCUMENTS

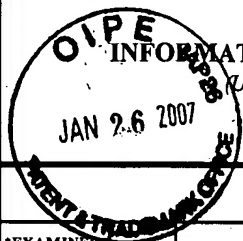
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		JP 2000-214364	08/2000	Japan				✓
		JP 10-313424	11/1998	Japan				✓
		JP 10-268225	10/1998	Japan				✓
		JP 2000-193863	07/2000	Japan				✓
		JP 11-41509	02/1999	Japan				✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

10011474-01

Application Number

10/079,102

Applicant(s)

Kenneth J. Wayne

Filing Date

February 19, 2002

Group Art Unit

3632

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

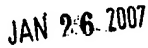
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		JP 2-47609	03/1990	Japan				✓
		JP 7-63961	03/1995	Japan				✓
		JP 5-3223	01/1993	Japan				✓
		JP 8-205001	08/1996	Japan				✓
		JP 2001-33674	02/2001	Japan				✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



3632

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Kenneth J. Wayne

Docket No.

10011474-01

Application No.

10/079-102

Filing Date

February 19, 2002

Examiner

Alfred Wujciak

Customer No.

022878

Group Art Unit

3632

Invention: A LOW COST OPTOMECHANICAL MOUNT FOR PRECISELY STEERING/POSITIONING A LIGHT

BEAM

JAN 26 2007

I hereby certify that this Information Disclosure Statement and PTO Form 1449

(Identify type of correspondence)

is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

JANUARY 23 2007

(Date)

Judith Riddell

(Typed or Printed Name of Person Mailing Correspondence)

Judith Riddell

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.